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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER 00812-03

(If-known, see 37 CFR 1.5)

PCT/US2003/023768		30 July 2003 (30.07.2003)	30 July 2002 (30.07.2002)							
TITLE OF INVENTION Compounds Active in Sphingosine 1-Phosphate Signaling										
APPLICANT(S) FOR DO/EO/US UNIVERSITY OF VIRGINIA PATENT FOUNDATION; LYNCH, Kevin R. and MACDONALD, Timothy L.										
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:										
1. X This is a FI	This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.									
2. This is a SE	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.									
	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.									
4. X The US has	The US has been elected (Article 31).									
5. X A copy of	A copy of the International Application as filed (35 U.S.C. 371(c)(2))									
а. 🗌	a. is attached hereto (required only if not communicated by the International Bureau).									
b	b. has been communicated by the International Bureau.									
c. X	c. $\overline{\mathbf{X}}$ is not required, as the application was filed in the United States Receiving Office (RO/US).									
6. An Englis	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).									
а. 🗀	a. is attached hereto.									
b. 🗆	has been previously submit	ted under 35 U.S.C. 154(d)(4).								
7. X Amendme	ents to the claims of the Inte	rnational Application under PCT Article 19 (35 U.S.C. 371(c)(3))							
а. 🗆	are attached hereto (requir	ed only if not communicated by the Internat	ional Bureau).							
ь. 🗆	have been communicated	by the International Bureau.								
с. 🔲	have not been made; howe	ever, the time limit for making such amendm	ents has NOT expired.							
_{d.} X	have not been made and w	rill not be made.								
8. An Englis	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).									
9. X An oath o	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).									
	Sh language translation of the (35 U.S.C. 371(c)(5)).	annexes of the International Preliminary Ex	xamination Report under PCT							
Items 11 to 20 b	Items 11 to 20 below concern document(s) or information included:									
11. An Inform	nation Disclosure Statement	under 37 CFR 1.97 and 1.98.								
12. An assign	ment document for recording	g. A separate cover sheet in compliance wit	h 37 CFR 3.28 and 3.31 is included.							
13. A prelimir	nary amendment.									
14. An Applic	An Application Data Sheet under 37 CFR 1.76.									
15. A substitu	ute specification.									
16. A power of	of attorney and/or change of	address letter.								
17. A comput	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.									
18. A second	A second copy of the published International Application under 35 U.S.C. 154(d)(4).									
	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).									
20. X Other item	Other items or information: Small Entity Statement; Post Card Receipt									

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual confirme you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief to Daba 1455 Alexandria VA 22313-1455 DO NOT SEND FEES Date 1455 DO NOT SEND FEES DATE 1555 DATE 1555

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PTO-1390 (Rev. 12-2004)
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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO.			ATTORNEY'S DOCKET NUMBER							
	10/5233	37	PCT/US2003	3/023	768	00812-03				
	ing fees are submitted:					Applicant use	Office use only			
X a) Basic na	ational fee			9	\$300.00	\$ 300.0				
X b) Examina	ation fee			•••••	\$200.00	\$ 200.0				
X c) Search f	iee				\$500.00	\$ 500.0				
	TOTAL OF ABOVE CA	LCULATION	1 S =		\$1000.00	\$ 1,000.0	0			
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.										
Total Sheets	Extra sheets		Number of each additional 50 or fraction thereof (round up to a whole number)							
123 - 100 =	23 /50 =		1		x \$250.00	\$ 250.0	0			
	30.00 for furnishing the order (37 CFR 1.492(e)).	ath or declara	ration later than 30 months fro			\$ 0.0	0			
CLAIMS	NUMBER FIL	LED	NUMBER EXTRA		RATE	\$				
Total claims	40	- 20 =	20	х	\$50.00	\$ 1,000.0				
Independent clai	ims 6	- 3 =	3	х	\$200.00	\$ 600.0	0			
MULTIPLE DEPI	PENDENT CLAIM(S) (if ap	oplicable)		+	\$360.00	\$ 360.0	0			
			TOTAL OF ABOVE			\$ 3,210.0	0			
Applicant c by 1/2.	laims small entity status.	See 37 CFR	R 1.27. The fees indicated ab	ove are	reduced	\$ 1,605.0	0			
				S	SUBTOTAL =	\$ 1,605.0	0			
•	of \$130.00 for furnishing the date (37 CFR 1.492(f)).	he English tr	ranslation later than 30 month	hs from	the earliest +	\$ 0.0	0			
			TOTAL	NATIO	NAL FEE =	\$ 1,605.0	0			
_	g the enclosed assignmer te cover sheet (37 CFR 3.	•	I.21(h)). The assignment mus 40.00 per property	st be ac	ccompanied +	\$ 0.0				
				EES EN	NCLOSED =	\$ 1,605.0	0			
			Amoi	unt to b	pe refunded:		\$			
			Amo	ount to	be charged		\$			
a. A chec	ck in the amount of \$		to cover the above	ve fees	is enclosed.					
b. \boxtimes Please charge my Deposit Account No. $50-0423$ in the amount of \$ $1,605.00$ to cover the above fees. A duplicate copy of this sheet is enclosed.										
c. X The Co	ommissioner is hereby aut	thorized to ch	harge any additional fees wh	nich may	y be required,	or credit any overpayme	ent to Deposit			
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NOTE: Where a		t under 37 Cl	FR 1.495 has not been met			(37 CFR 1.137(a) or (b)) must be filed			
_	RRESPONDENCE TO:		The person of the				4			
Rodney L. S		ey L. Spark	7							
University of Virginia Patent Foundation SIGNATURE						1 35/				
1224 West Main Street, Suite 1-110 Rodney L. S					Snarks					
Charlottesville, VA 22903 NAME						pario	 -			
1					8,625 REGISTRATIO	ON NUMBER				

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE U. S. RECEIVING OFFICE

Applicant:

Kevin R. Lynch, et al.

Customer No.

34444

International

Art Unit:

Unknown

Serial No.

PCT/US2003/023768

Examiner:

Unknown

International

Filing Date:

30 July 2003

Title:

Compounds Active in Sphingosine 1-Phosphate Signaling

Certificate of Mailing Under 37 CFR §1.10 Express Mail No. ER 689 334 216 US

I hereby certify that this correspondence is being deposited with the United States Postal Service using Express Mail Service under 37 C.F.R. §1.10 on the date indicated below and is addressed to Mail Stop PCT, U.S. Receiving Office, Commissioner for Patents, PO Box 1450, Alexandria VA 22313-1450.

Date: January 28, 2005

Ami Carr

STATEMENT CLAIMING SMALL ENTITY STATUS (37 CFR §1.27 (a)(3)(C))

Mail Stop PCT U.S. Receiving Office Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Dear Sir:

With respect to the captioned invention, I hereby state that I am an official empowered to act on behalf of the University of Virginia Patent Foundation, a nonprofit organization, and that the University of Virginia Patent Foundation is Tax Exempt Under Internal Revenue Service Code (26 USC 501(a) and 501(c) (3)) and that the nonprofit organization identified above qualifies as a nonprofit organization, as defined in 37 CFR §1.27(a)(3)(C), for purposes of paying reduced fees under §§41(a) and (b) of Title 35, United States Code.

I hereby state that rights under contract or law remain with and have been conveyed to the University of Virginia Patent Foundation.

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR §§1.27(g)(2)).

Respectfully submitted,

Rodney L. Spork

January 28, 2005

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Rodney L. Sparks, In-House Patent Counsel University of Virginia Patent Foundation 1224 West Main Street, Suite 1-110 Charlottesville, VA 22903

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